CEOS-ARD - Synthetic Aperture Radar - Ocean Radar Backscatter

Draft Version

This is a draft version. Please visit the CEOS-ARD website for the latest endorsed version of this document.

Document Status

Product Family Specification, Synthetic Aperture Radar, Ocean Radar Backscatter

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Document History
- Version 1.1.0-draft (unreleased draft)

CEOS Analysis Ready Data Definition

CEOS Analysis Ready Data (CEOS-ARD) are satellite data that have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort and interoperability both through time and with other datasets.

Description

Product Family Specification: Synthetic Aperture Radar, Ocean Radar Backscatter (ORB)

Version: 1.1.0-draft

Applies to: Data collected by Synthetic Aperture Radar sensors

Background

This PFS is specifically aimed at users interested in exploring the potential of SAR but who may lack the expertise or facilities for SAR processing.

The CEOS-ARD Ocean Radar Backscatter (ORB) product specification describes products that have been projected on a geoid and are provided in the Sigma-Nought (σ^0) backscatter convention, which is recommended for most ocean applications. Backscatter may be calibrated to the ellipsoid (σ^0_E) or radiometrically terrain corrected (σ^0_T) prior to geometric terrain correction. As the basic ORB product contains backscatter values only, it *cannot* be directly used for SAR polarimetry or interferometric applications that require local phase estimates. Nonetheless, an advanced ORB product could include the upper diagonal of the polarimetric σ^0 covariance matrix for enabling advanced polarimetric analysis (similar to the POL product).

Definitions and Abbreviations

ACDD
: Attribute Convention for Data Discovery as defined by Earth Science Information Partners (ESIP)

ALE
: Absolute Geolocation Error

ATBD
: Algorithm Theoretical Basis Document

Auxiliary Data
: The data required for instrument processing, which does not originate in the instrument itself or from the satellite. Some auxiliary data will be generated in the ground segment, whilst other data will be provided from external sources, e.g., DEM, aerosols.

CB
: Composite Backscatter

CEOS-ARD
: Committee on Earth Observation Satellites - Analysis Ready Data

Composite Product
: Product where samples (or pixels) are generated from more than one input data source, e.g. by local resolution weighting or by backscatter averaging.

CRS
: Coordinate Reference System

DEM
: Digital Elevation Model

DOI
: Digital Object Identifier

DSM
: Digital Surface Model

EGM
: Earth Gravitational Model

ENL
: Equivalent Number of Looks

EPSG Code
: An EPSG code is a unique identifier assigned to e.g. a specific coordinate reference system (CRS) by the European Petroleum Survey Group (EPSG).

GSLC
: Geocoded Single-Look Complex

ISLR

Intensity Signal-to-Noise Level Ratio

LUT
Look-Up Table

Mosaic Product
Product generated from more than one input data source and where a pixel value in the product uniquely corresponds to the pixel value of one of its input data sources.

NRB
Normalised Radar Backscatter

ORB
Ocean Radar Backscatter

PFS
Product Family Specification

POL
Polarimetric Radar

PSLR
Polarimetric Signal-to-Noise Level Ratio

rRMSE
Radial Root Mean Square Error

RTC
Radiometrically Terrain Corrected

SAR
Synthetic Aperture Radar

SI
International System of Units, internationally known by the abbreviation SI (from French Système international d’unités)

SLC
Single-Look Complex

STAC
SpatioTemporal Asset Catalog

UPS
Universal Polar Stereographic

URL
Uniform Resource Locator, a reference to a web resource that specifies its location on a computer network and a mechanism for retrieving it.

UTC
Coordinated Universal Time

UTM
Universal Transverse Mercator

WGS84
: World Geodetic System 1984

WKT
: Well-Known Text (WKT) is a text markup language for representing vector geometry objects on a map, spatial reference systems of spatial objects, and transformations between spatial reference systems. The formats were originally defined by the Open Geospatial Consortium (OGC) and described in their Simple Feature Access and Coordinate Transformation Service specifications.

Requirements

WARNING: The section numbers in front of the title (e.g. 1.1) are not stable and may change or may be removed at any time. Do **not** use the numbers to refer back to specific requirements! Instead, use the textual identifier that is provided below the title.

1. General Metadata

These are metadata records describing a distributed collection of pixels. The collection of pixels referred to must be contiguous in space and time. General metadata should allow the user to assess the *overall* suitability of the dataset, and must meet the requirements listed below.

1.1. Traceability

Identifier: `meta-trace-sar`

Threshold requirements:

Not required.

Goal requirements:

Data must be traceable to SI reference standard.

Notes:

1. Relationship to Radiometrically Corrected Measurements: Radiometric Accuracy. Traceability requires an estimate of measurement uncertainty.
2. Information on traceability should be available in the metadata as a single DOI landing page.

1.2. Metadata Machine Readability

Identifier: `meta-memare-sar`

Threshold requirements:

Metadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component/variable for further use.

Goal requirements:

As threshold, but metadata is formatted in accordance with the latest corresponding CEOS-ARD SAR Metadata Specifications, or in a community endorsed standard that facilitates machine-readability, such as ISO 19115-2, Climate and Forecast (CF) convention and the Attribute Convention for Data Discovery (ACDD), etc.

1.3. Product Type

Identifier: `meta-protype`

Threshold requirements:

CEOS-ARD product type name – or names in case of compliance with more than one product type – and, if required by the data provider, copyright.

Goal requirements:

As threshold.

1.4. Document Identifier

Identifier: `meta-pfsurl`

Threshold requirements:

Reference to CEOS-ARD PFS document as URL.

Goal requirements:

As threshold.

1.5. Data Collection Time

Identifier: `meta-time-sar`

Threshold requirements:

Number of source data acquisitions of the data collection is identified. The start and stop UTC time of data collection is identified in the metadata, expressed in date/time. In the case of composite or mosaic products, the dates/times of the first and last data takes is provided with the product.

Goal requirements:

As threshold, but using ISO 8601 time format.

2. Source Metadata

Metadata describing (detailing) **each** acquisition used to generate the ARD product.

Source data attribute information can refer to other products for higher level ARD derived from those, under the condition of their availability (Source Metadata: Source Data Access).

2.1. Acquisition ID

Identifier: `src-macqid`

Threshold requirements:

Source data attribute information are described for each acquisition and sequentially identified e.g. as acqID = 1, 2, 3, …

Goal requirements:

As threshold.

2.2. Source Data Access

Identifier: `src-daccess-src`

Threshold requirements:

The metadata identifies the location from where the source data can be retrieved, expressed as a URL or DOI.

Goal requirements:

The metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required.

2.3. Instrument

Identifier: `src-instru-sar`

Threshold requirements:

The instrument used to collect the data is identified in the metadata:

- Satellite name
- Instrument name

Goal requirements:

As threshold, but using CEOS Mission-Instruments-Measurements (MIM) database as reference.

2.4. Source Data Acquisition Time

Identifier: `src-time-src`

Threshold requirements:

The start date and time of source data is identified in the metadata, expressed in UTC in date and time, at least to the second.

Goal requirements:

As threshold.

2.5. Source Data Acquisition Parameters

Identifier: `src-acqpar`

Threshold requirements:

Acquisition parameters related to the SAR antenna:

- Radar band
- Centre frequency
- Observation mode (i.e., beam mode name)
- Polarization(s) (listed as in original product)
- Antenna pointing (right/left)
- Beam ID (i.e., beam mode mnemonic)

Goal requirements:

As threshold.

2.6. Source Data Orbit Information

Identifier: `src-orbit`

Threshold requirements:

Information related to the platform orbit used for data processing:

- Pass direction (asc/desc), see note
- Orbit data source (e.g., predicted, definite, precise, downlinked, etc.)

Note:

1. For source data crossing the North or South Pole, it is recommended to produce two distinct CEOS-ARD products and to use the appropriate “Pass direction” in each.

Goal requirements:

As threshold, including also:

- Platform heading angle expressed in degrees (0-360) from North
- Orbit data file containing state vectors (minimum of 5 state vectors, from 10% of scene length *before* start time to 10% of scene length *after* stop time)
- Platform (mean) altitude
- Absolute orbit number
- Relative orbit number

2.7. Source Data Processing Parameters

Identifier: `src-propar`

Threshold requirements:

Processing parameters details of the source data:

- Processing facility
- Processing date
- Software version
- Product level
- Product ID (file name)
- Azimuth number of looks
- Range number of looks (separate values for each beam, as necessary)

Note:

1. Azimuth and Range number of looks are not required when sources are CEOS-ARD or any other geocoded products

Goal requirements:

As threshold, plus additional relevant processing parameters, e.g., range- and azimuth look bandwidth and LUT applied.

2.8. Source Data Image Attributes

Identifier: `src-imgatt-sar`

Threshold requirements:

Image attributes related to the source data:

- Source data geometry (slant range/ground range/geocoded)

- Azimuth pixel spacing [m] (alternatively, azimuth pixel spacing can be provided in second [s], equivalent to the azimuth time sample interval)
- Range pixel spacing
- Azimuth resolution
- Range resolution
- Near range incident angle
- Far range incident angle

Note:

1. For geocoded sources such as GSLC and InSAR, Azimuth and Range pixel spacing are replaced by line (row) and pixel (column) spacing information. Spatial resolution information is not required for geocoded sources.

Goal requirements:

Geometry of the image footprint expressed in WGS84 in a standardised format (e.g., WKT).

2.9. Sensor Calibration

Identifier: `src-sencal-sar`

Threshold requirements:

Not required.

Goal requirements:

Sensor calibration parameters are identified in the metadata or can be accessed using details included in the metadata. Ideally this would support machine-to-machine access.

2.10. Performance Indicators

Identifier: `src-perfind`

Threshold requirements:

Provide performance indicators on data intensity noise level ($\mathrm{NE}\sigma^0$ and/or $\mathrm{NE}\beta^0$ and/or $\mathrm{NE}\gamma^0$, i.e., noise equivalent Sigma- and/or Beta- and/or Gamma-Nought). Provided for each polarization channel when available.

Parameter may be expressed as the mean and/or minimum and maximum noise equivalent values of the source data.

Values do not need to be estimated individually for each product, but may be estimated once for each acquisition mode, and annotated on all products.

Goal requirements:

Provide additional relevant performance indicators (e.g., ENL, PSLR, ISLR, and performance reference DOI or URL).

2.11. Polarimetric Calibration Matrices

Identifier: `src-polcalm`

Threshold requirements:

Not required.

Goal requirements:

The complex-valued polarimetric distortion matrices with the channel imbalance and the cross-talk applied for the polarimetric calibration.

2.12. Mean Faraday Rotation Angle

Identifier: `src-farotan`

Threshold requirements:

Not required.

Goal requirements:

The mean Faraday rotation angle estimated from the polarimetric data and/or from models with reference to the method or paper used to derive the estimate.

2.13. Ionosphere Indicator

Identifier: `src-ionind`

Threshold requirements:

Not required.

Goal requirements:

Flag indicating whether the backscatter imagery is “significantly impacted” by the ionosphere (0 – false, 1 – true). Significant impact would imply that the ionospheric impact on the backscatter exceeds the radiometric calibration requirement or goal for the imagery.

3. Product Metadata

Information related to the CEOS-ARD product generation procedure and geographic parameters.

3.1. Product Data Access

Identifier: `prd-daccess-prod`

Threshold requirements:

Processing parameters details of the CEOS-ARD product:

- Processing facility
- Processing date
- Software version
- Location from where CEOS-ARD product can be retrieved, expressed as a URL or DOI.

Goal requirements:

The metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required.

3.2. Auxiliary Data

Identifier: `prd-auxdat-sar`

Threshold requirements:

Not required.

Goal requirements:

The metadata identifies the sources of auxiliary data used in the generation process, ideally expressed as DOIs.

Note:

1. Auxiliary data includes DEMs, etc., and any additional data sources used in the generation of the product.

3.3. Product Sample Spacing

Identifier: `prd-samspa`

Threshold requirements:

CEOS-ARD product processing parameters details:

- Pixel (column) spacing
- Line (row) spacing

Goal requirements:

As threshold.

3.4. Product Equivalent Number of Looks

Identifier: `prd-enl`

Threshold requirements:

Not required.

Goal requirements:

Equivalent Number of Looks (ENL)

3.5. Product Resolution

Identifier: `prd-resol`

Threshold requirements:

Not required.

Goal requirements:

Average spatial resolution of the CEOS-ARD product along:

- Columns
- Rows

3.6. Product Filtering

Identifier: `prd-spekfil`

Threshold requirements:

Flag if speckle filter has been applied (true/false).

Metadata should include:

- Reference to algorithm as DOI or URL

- Input filtering parameters
  - Type
  - Window size in pixel units
  - Any other parameters defining the speckle filter used

Goal requirements:

As threshold.

3.7. Product Bounding Box

Identifier: `prd-geobbox`

Threshold requirements:

Two opposite corners of the product file (bounding box, including any zero-fill values) are identified, expressed in the coordinate reference system defined in Product Metadata: Product Coordinate Reference System.

Four corners of the product file are recommended for scenes crossing the Antemeridian, or the North or the South Pole.

Goal requirements:

As threshold.

3.8. Product Geographical Extent

Identifier: `prd-geoarea-sar`

Threshold requirements:

The geometry of the SAR image footprint expressed in longitude/latitude based on WGS84 (EPSG 4326), in a standardised format (e.g., WKT Polygon).

Goal requirements:

As threshold.

3.9. Product Image Size

Identifier: `prd-imgsize`

Threshold requirements:

Image attributes of the CEOS-ARD product:

- Number of lines
- Number of pixels per line
- File header size (if applicable)
- Number of no-data border pixels (if applicable)

Goal requirements:

As threshold.

3.10. Product Pixel Coordinate Convention

Identifier: `prd-pixcoco`

Threshold requirements:

Coordinate referring to the centre, the upper left corner, or the lower left corner of a pixel. Values are pixel centre, pixel ULC or pixel LLC.

Goal requirements:

As threshold.

3.11. Product Coordinate Reference System

Identifier: `prd-crs-sar`

Threshold requirements:

The metadata lists the map projection (or geographical coordinates, if applicable) that was used and any relevant parameters required to geolocate data in that map projection, expressed in a standardised format (e.g., WKT).

Indicate EPSG code, if defined for the CRS.

Goal requirements:

As threshold.

3.12. Look Direction Polynomials

Identifier: `prd-lookdip`

Threshold requirements:

In case the Look Direction Image (see Per-Pixel Metadata: Look Direction Image) is **not** provided, then a list of the polynomial coefficients are necessary to reconstruct the look direction angle[1], together with an estimate of the added error from use of

polynomial vs. per-pixel more accurate values, shall be provided.

Example polynomial:

$$\text{LookDir} = a_1\,\text{Lat}^2 + a_2\,\text{Lon}^2 + a_3\,\text{LatLon} + a_4\,\text{Lat} + a_5\,\text{Lon} + a_6$$

where:

- a_i = polynomial coefficients
- Lat = latitude
- Lon = longitude

Lat and Lon are the related coordinates in the product map units (m, deg, arcsec).

Goal requirements:

As threshold.

4. Per-Pixel Metadata

The following minimum metadata specifications apply to each pixel. Whether the metadata is provided in a single record relevant to all pixels or separately for each pixel is at the discretion of the data provider. Per-pixel metadata should allow users to **discriminate between** (choose) observations on the basis of their individual suitability for application.

4.1. Metadata Machine Readability

Identifier: `pxl-memare-sar`

Threshold requirements:

Metadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component/variable for further use.

Goal requirements:

As threshold, but metadata is formatted in accordance with the latest corresponding CEOS-ARD SAR Metadata Specifications, or in a community endorsed standard that facilitates machine-readability, such as ISO 19115-2, Climate and Forecast (CF) convention and the Attribute Convention for Data Discovery (ACDD), etc.

4.2. Data Mask Image

Identifier: `pxl-damaski`

Threshold requirements:

Mask image indicating:

- Valid data
- Invalid data
- No data

File format specifications/contents provided in metadata:

- Sample Type (Mask)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, …)
- Bits per Sample
- Byte Order
- Bit Value Representation

Notes:

1. All bit value representations included in the Data Mask Image should be indicated in the metadata.
2. For CEOS-ARD products created from repeat-pass acquisitions, with narrow orbital tube radius, a single static per pixel metadata file can be provided as a URL address of that unique metadata file.

Goal requirements:

As threshold, including additional bit value representations, e.g.:

- Layover (masked as invalid data in threshold)
- Radar shadow (masked as invalid data in threshold)
- Ocean water
- Land (recommended for ORB)
- RTC applied (e.g., for maritime scenes with land samples for which RTC has been applied)
- DEM gap filling (i.e., interpolated DEM over gaps)

4.3. Scattering Area Image

Identifier: `pxl-piscata`

Usage: Recommended for scenes that include land areas.

Threshold requirements:

Not required.

Goal requirements:

DEM-based scattering area image used for Gamma-Nought terrain normalisation is provided. This quantifies the local scattering area used to normalise for radiometric distortions induced by terrain to the measured β^0 backscatter. The terrain-flattened γ^0_T is best understood as β^0 divided by the local scattering area.

File format specifications/contents provided in metadata:

- Sample Type (Scattering Area)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order

Notes:

1. For CEOS-ARD products created from repeat-pass acquisitions, with narrow orbital tube radius, a single static per pixel metadata file could be provided as a URL address of that unique metadata file.
2. Required for products such as NRB and POL if they are to be used as an input to production of composite backscatter (CB) when weighted averages based on the areas are used to generate composite backscatter.

4.4. Local Incident Angle Image

Identifier: `pxl-ploinca`

Threshold requirements:

DEM-based Local Incident angle image is provided.

File format specifications/contents provided in metadata:

- Sample Type (Angle)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order

Notes:

1. For CEOS-ARD products created from repeat-pass acquisitions, with narrow orbital tube radius, a single static per pixel metadata file can be provided as a URL address of that unique metadata file.
2. For maritime ORB scenes when no land areas are covered, a geoid model could be used for the calculation of the local incident angle

Goal requirements:

As threshold.

4.5. Ellipsoidal Incident Angle Image

Identifier: `pxl-pelinca`

Threshold requirements:

Not required.

Goal requirements:

Ellipsoidal incident angle is provided.

File format specifications/contents provided in metadata:

- Sample Type (Angle)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order
- Reference Ellipsoid Name

Notes:

1. For CEOS-ARD products created from repeat-pass acquisitions, with narrow orbital tube radius, a single static per pixel metadata file can be provided as a URL address of that unique metadata file.
2. For maritime ORB scenes when no land areas are covered, the ellipsoidal incident angle is nearly identical to the geod based local incident angle

4.6. Noise Power Image

Identifier: `pxl-pinopow`

Threshold requirements:

Not required.

Goal requirements:

Estimated Noise Equivalent σ^0 (or β^0 or γ^0, as applicable) used for noise removal, if applied, for each channel. $\mathrm{NE}\sigma^0$ and $\mathrm{NE}\gamma^0$ are both based on either an ellipsoid Earth model or the local topography.

File format specifications/contents provided in metadata:

- Sample Type (Gamma-Nought, Sigma-Nought, Beta-Nought)
- Correction model type (Ellipsoid, Topography)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order

4.7. Acquisition ID Image

Identifier: `pxl-pacqidm`

Usage: Required for mosaic products only.

Threshold requirements:

Acquisition ID, or acquisition date, for each pixel is identified.

In case of multi-temporal image stacks, use source acquisition ID (i.e., Source Metadata: Acquisition ID) to list contributing images.

In case of date, data represent (integer or fractional) day offset to reference observation date (in UTC). Date used as reference (“Day 0”) is provided in the metadata.

Pixels not representing a unique date or ID (e.g., pixels averaged in image overlap zones) are flagged with a pixel value referencing a date range that is provided in the metadata.

File format specifications/contents provided in metadata:

- Sample type (Day, Time, ID)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per sample
- Byte Order

Goal requirements:

As threshold.

4.8. Per-Pixel Geoid

Identifier: `pxl-pigeoid`

Threshold requirements:

Not required.

Goal requirements:

Provide Geoid as used during the geometric and radiometric processing of the SAR data, resampled to an exact geometric match in extent and resolution with the CEOS-ARD image product.

File format specifications/contents provided in metadata:

- Sample Type (Height)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order
- Ground Sampling Distance

4.9. Look Direction Image

Identifier: `pxl-lookdiri`

Threshold requirements:

Not required.

Goal requirements:

Look Direction Image is provided. It represents the planar angle between north and each range direction.

File format specifications/contents provided in metadata:

- Sample Type (Angle)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order

Note:

1. For CEOS-ARD products created from repeat-pass acquisitions, with narrow orbital tube radius, a single static per pixel metadata file can be provided as a URL address of that unique metadata file.

5. Radiometrically Corrected Measurements

The requirements indicate the necessary outcomes and, to some degree, the minimum steps necessary to be deemed to have achieved those outcomes. Radiometric corrections must lead to normalised measurement(s) of backscatter intensity and/or decomposed polarimetric parameters. As for the per-pixel metadata, information regarding data format specification needs to be provided for each record. The requirements below must be met for all pixels/samples/observations in a collection.

5.1. Backscatter Measurements (ORB)

Identifier: `rcm-backsca-orb`

Threshold requirements:

Geoid-corrected Sigma-Nought backscatter coefficient (σ^0) is provided for each polarization.

File format specifications/contents provided in metadata:

- Measurement Type (Sigma-Nought)
- Backscatter Expression Convention (linear amplitude, or linear power [see note])
- Polarization (HH, HV, VV, VH)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)

- Bits per Sample
- Byte Order

Note:

1. Transformation to the logarithm decibel scale is not required or desired as this step can be completed by the user if necessary.

Goal requirements:

Radiometrically Terrain-corrected Sigma-Nought backscatter coefficient (σ_T^0) is provided for each polarization.

5.2. Scaling Conversion

Identifier: `rcm-scaconv`

Threshold requirements:

If applicable, indicate the equation to convert pixel linear amplitude/power to logarithmic decibel scale, including, if applicable, the associated calibration (dB offset) factor, and/or the equation used to convert compressed data (int8/int16/float16) to float32.

Goal requirements:

As threshold, but use of float32.

5.3. Noise Removal

Identifier: `rcm-noiser`

Threshold requirements:

Flag if noise removal (see note) has been applied (Y/N). Metadata should include the noise removal algorithm and reference to the algorithm as URL or DOI.

Note:

1. Thermal noise removal and image border noise removal to remove overall scene noise and scene edge artefacts, respectively.

Goal requirements:

As threshold.

5.4. Radiometric Accuracy

Identifier: `rcm-radacc-sar`

Threshold requirements:

Not required.

Goal requirements:

Uncertainty (e.g., bounds on γ^0 or σ^0) information is provided as document referenced as URL or DOI. SI traceability is achieved.

5.5. Mean Wind-Normalised Backscatter Measurements

Identifier: `rcm-backsmwn`

Usage: Only for Maritime scenes.

Threshold requirements:

Not required.

Goal requirements:

Mean wind-normalised (over ocean) backscatter coefficient is provided for each available polarization. It is calculated as the ratio between the backscatter intensity and a simulated backscatter intensity image generated using an ocean surface wind model such as, e.g., (Yves et al. 1998) or (Vachon and Dobson 2000) for VV and HH polarization respectively.

File format specifications/contents provided in metadata:

- Measurement Type (Wind-Normalised Backscatter)
- Backscatter Expression Convention (intensity ratio)
- Polarization (HH, HV, VV, VH)
- Data Format (GeoTIFF, HDF5, NetCDF, …)
- Data Type (Int, Float, …)
- Bits per Sample
- Byte Order

Note:

1. Reference wind model, wind speed and direction used for reference backscattering coefficient should be provided.

6. Geometric Corrections

Geometric corrections are steps that are taken to place the measurement accurately on the surface of the Earth (that is, to geolocate the measurement) allowing measurements taken through time to be compared. This section specifies any geometric correction requirements that must be met in order for the data to be analysis ready.

6.1. Geometric Correction Algorithm

Identifier: `gcor-geocalg`

Threshold requirements:

Not required.

Goal requirements:

Metadata references, e.g.:

- A metadata citable peer-reviewed algorithm
- Technical documentation regarding the implementation of that algorithm expressed as URLs or DOIs
- The sources of auxiliary data used to make corrections
- Resampling method used for geometric processing of the source data

Note:

1. Examples of technical documentation can include e.g., an Algorithm Theoretical Basis Document (ATBD), or a product user guide.

6.2. Digital Elevation Model

Identifier: `gcor-cdem`

Usage: For products including land areas.

Threshold requirements:

a. During ortho-rectification, the data provider shall use the same DEM that was used for the radiometric terrain flattening to ensure consistency of the data stack.
b. Provide reference to the Digital Elevation Model used for geometric terrain correction. For mosaic or composite products, specify the DEM used for each input data source, if different.
c. Provide reference to Earth Gravitational Model (EGM) if used for geometric correction. For mosaic or composite products, specify the EGM used for each input data source, if different.

Goal requirements:

a. A DEM with comparable or better resolution to the resolution of the output CEOS-ARD product shall be used if available. Else, the upsampled DEM is identified.
b. Resampling method used for preparation of the DEM.
c. Method used for resampling the EGM.

6.3. Geometric Accuracy

Identifier: `gcor-geomacc-sar`

Threshold requirements:

Accurate geolocation is a prerequisite to radar processing to correct for terrain and to enable interoperability between radar sensors.

The absolute geolocation error (ALE) for a sensor is typically assessed through analysis of Single Look Complex (SLC) imagery and measured along the slant range and azimuth directions (case A: SLC ALE). The end-to-end “ARD” ALE of the final CEOS-ARD product could be measured directly in the final image product in the chosen map projection, i.e., in the map coordinate directions: e.g., Northing and Easting (case B: ARD ALE). Providing accuracy estimates based on measurements following at least one scheme (A or B or both) meets the threshold requirement.

Estimates of the ALE is provided as a bias and a standard deviation, with (Case A) SLC ALE expressed in slant range and azimuth, and (Case B) ARD ALE expressed in map projection dimensions.

For composite products, when sources come from different SAR platforms or different beam modes, provide averaged ALE or averaged ARD ALE.

Notes:

1. This assessment is often made through comparison of measured corner reflector positions with their projected location in the imagery. In some cases, other mission calibration/validation results may be used.
2. The ALE is not typically assessed for every processed image, but through an ALE assessment by the data processing team characterizing all or (usually a suitably representative subset) of the generated products.
3. For new SAR missions, as long as calibration/validation reports are not available, values can be set to NaN and provide a DOI or URL link to pre-launch mission specification document.

Goal requirements:

Output product sub-sample accuracy should be less than or equal to 0.1 (slant range) pixel radial root mean square error (rRMSE).

Provide documentation of estimates of ALE as DOI or URL.

6.4. Geometric Refined Accuracy

Identifier: `gcor-georacc`

Threshold requirements:

Not required.

Goal requirements:

Values provided under Geometric Corrections: Geometric Accuracy are provided by the SAR mission Cal/Val team.

CEOS-ARD processing steps could include method refining the geometric accuracy, such as cross-correlation of the SAR data in slant range with a SAR scene simulated from a DSM or DEM.

Methodology used (name and reference), quality flag, geometric standard deviation values should be provided.

For composite products, provide averaged ALE or averaged ARD ALE estimated from all sources.

6.5. Gridding Convention

Identifier: `gcor-gridconv`

Threshold requirements:

A consistent gridding/sampling frame is used. The origin is chosen to minimise any need for subsequent resampling between multiple products (be they from the same or different providers). This is typically accomplished via a “snap to grid” in relation to the most proximate grid tile in a global system.

Note:

1. If a product hierarchy of resolutions exists (or is planned), the multiple resolutions should nest within each other (e.g., 12.5m, 25m, 50m, 100m, etc.), and not be disjoint.

Goal requirements:

Provide DOI or URL to gridding convention used.

When multiple providers share a common map projection, providers are encouraged to standardise the origins of their products among each other.

In the case of UTM/UPS coordinates, the upper left corner coordinates should be set to an integer multiple of sample intervals from a 100 km by 100 km grid tile of the Military Grid Reference System’s 100k coordinates (“snap to grid”).

For products presented in geographic coordinates (latitude and longitude), the origin should be set to an integer multiple of samples in relation to the closest integer degree.

Introduction

This section aims to provide background and specific information on the processing steps that can be used to achieve analysis ready data for a specific and well-developed Product Family Specification. This Guidance material does not replace or override the specifications.

What is CEOS Analysis Ready Data?

CEOS-ARD are products that have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort. In general, these products would be resampled onto a common geometric grid (for a given product) and would provide baseline data for further interoperability both through time and with other datasets.

CEOS-ARD products are intended to be flexible and accessible products suitable for a wide range of users for a wide variety of applications, including particularly time series analysis and multi-sensor application development. They are also intended to support rapid ingestion and exploitation via high-performance computing, cloud computing and other future data architectures. They may not be suitable for all purposes and are not intended as a *replacement* for other types of satellite products.

When can a product be called CEOS-ARD?

The CEOS-ARD branding is applied to a particular product once:

- that product has been assessed as meeting CEOS-ARD requirements by the agency responsible for production and distribution of the product, and
- that the assessment has been peer reviewed by the relevant CEOS team(s).

Agencies or other entities considering undertaking an assessment process should consult the CEOS-ARD Governance Framework.

A product can continue to use CEOS-ARD branding as long as its generation and distribution remain consistent with the peer-reviewed assessment.

What is the difference between Threshold and Goal?

Threshold (Minimum) requirements are the **minimum** that is needed for the data to be analysis ready. This must be practical and accepted by the data producers.

Goal (Desired) requirements (previously referred to as “Target”) are the ideal; where we would like to be. Some providers may already meet these.

Products that meet all *threshold* requirements should be immediately useful for scientific analysis or decision-making.

Products that meet *goal* requirements will reduce the overall product uncertainties and enhance broad-scale applications. For example, the products may enhance interoperability or provide increased accuracy through additional corrections that are not reasonable at the *threshold* level.

Goal requirements anticipate continuous improvement of methods and evolution of community expectations, which are both normal and inevitable in a developing field. Over time, *goal* specifications may (and subject to due process) become accepted as *threshold* requirements.

Compatibility and Interoperability of CEOS-ARD SAR Products

As can be seen from the individual PFS descriptions, only a few minor details in terms of generated parameters and/or the addition of supplemental data distinguish these CEOS-ARD products. In part, they are to a large extent all backward-compatible. For example, POL products implicitly include NRB products, while a coastal NRB or POL product can simply be made compatible with other ORB products by applying gamma-to-sigma conversion. Just as GSLC can be converted to NRB (given that terrain-flattening was applied, a goal-requirement for GSLC, the inverse conversion can be made true by including the optional topographically flattened phase. In this way a NRB or POL product can be used like a GSLC for InSAR applications. Consequently, it becomes obvious that they all can follow a common approach, in terms of content and structure, in order to optimize their interoperability.

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Annexes

General Processing Roadmap

The radiometric interoperability of CEOS-ARD SAR products is ensured by a common processing chain during production. The recommended processing roadmap involves the following steps:

- Apply the best possible orbit parameters to give the most accurate product possible. These will have been projected to an ellipsoidal model such as WGS84. To achieve the level of geometric accuracy required for the DEM-based correction, precise orbit determination will be required.
- Apply instrument calibration to produce Beta-Nought values with high fidelity.
- Convert Single-Look-Complex (SLC) radiometric channel(s) to intensity NRB, ORB and POL and in addition for POL, the cross-product element(s) of the covariance as shown in annex “Normalised Covariance Matrices (CovMat)” of the applicable PFS.
- Perform radiometric terrain correction (gamma backscatter convention terrain-flattening) on the covariance matrix by applying the local surface normalisation factor to each backscatter measurement element (Small 2011; Shiroma et al. 2022).
- Perform polarimetric speckle filtering (optional for NRB and ORB), before geocoding, to optimally preserve the polarimetric information. Most popular polarimetric decomposition methodologies are incoherent in nature, which requires averaging the covariance matrix for stationarity. Depending on the application, a polarimetric filter that preserves local point targets and locally average extended targets may be used, e.g., Sigma Lee filter with 7x7 window and 3-point target (Lee et al. 2009). Multi-looking could be performed to meet optimal output sample spacing before the geometric correction step. No speckle filtering or multi-looking is performed for GSLC products.
- For GSLC products, the topographic phase is estimated relative to a reference orbit and removed from the SLC data (Zebker et al. 2010; Zebker 2017) (see annex “Topographic phase removal” in the applicable PFS)
- Geometric terrain correction (relative to geoid for ORB) is applied to the normalized backscatter measurement data. For POL, the resampling methodology should be nearest-neighbour, bilinear or average in order to preserve integrity of the covariance matrix as other resampling functions can introduce artefacts due to the mix of intensity and complex number elements in the matrix. Geocoding to a common grid structure with specified pixel spacings for true data cube format.
- Generate CEOS format metadata to accompany product layers.
- Optionally, a SpatioTemporal Asset Catalog (STAC) file is added to the product.

Table 1 lists possible sequential steps and existing software tools (e.g., Gamma software (GAMMA, 2018)) and scripting tasks that can be used to form the CEOS-ARD SAR processing roadmap.

Table 1: SAR ARD processing roadmap and software options. RADARSAT-2 Example

Step	Implementation option

Step	Implementation option
1. Orbital data refinement	Check xml date and delivered format. RADARSAT-2, pre EDOT (July 2015) replace. Post July 2015, check if 'DEF', otherwise replace. (Gamma - RSAT2_vec)
2. Apply radiometric scaling Look-Up Table (LUT) to Beta-Nought	Specification of LUT on ingest. (Gamma - par_RSAT2_SLC/SG)
3. Generate covariance matrix elements	Gamma – COV_MATRIX
4. Radiometric terrain normalisation	Gamma - geo_radcal2
5. Speckle filtering (Boxcar or Sigma Lee)	Custom scripting
6. Geometric terrain correction/Geocoding	Gamma – gc_map and geocode_back
7. Create metadata	Custom scripting

Ocean Radar Backscatter example

In contrast to NRB and POL, CEOS-ARD Ocean Radar Backscatter ORB products are geoid corrected and are provided in the Sigma-Nought (σ^0_E) backscatter convention (Figure 1), which is recommended for most ocean applications. In addition, availability of the "Local (or Ellipsoidal) Incidence Angle Image" (Figure 4) and "Look Direction Image" per-pixel metadata are highly recommended (otherwise the general metadata "Look Direction Polynomials") since they required for operational applications like ocean wind field estimates.

The following figures show Sentinel-1 ORB products of the Tropical Cyclone Harold passing Vanuatu on April 6, 2020:

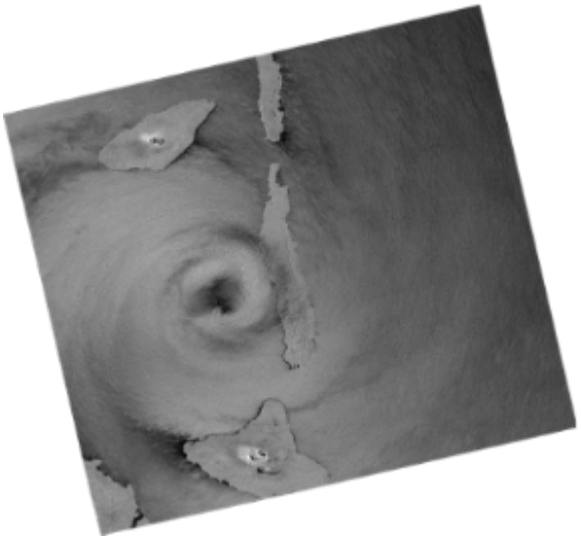


Figure 1: VV intensity; Processing: A. Rosenqvist (soloEO)

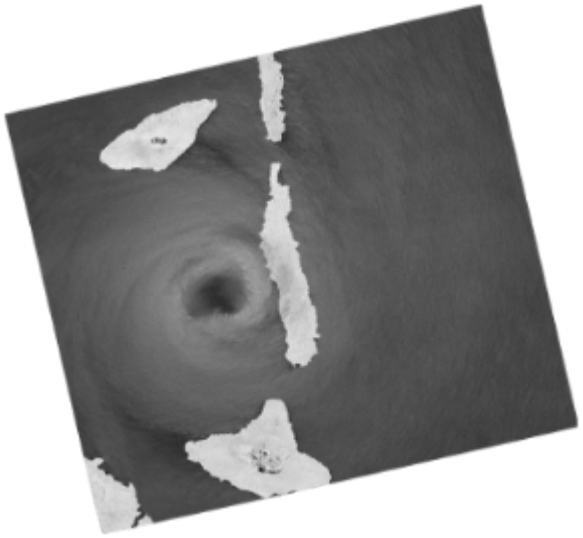


Figure 2: VH intensity; Processing: A. Rosenqvist (soloEO)



Figure 3: Data mask image; Processing: A. Rosenqvist (soloEO)



Figure 4: Local incident angle; Processing: A. Rosenqvist (soloEO)

Another useful file is the “Mean Wind-Normalised Backscatter Measurements” (Figure 6) which efficiently attenuates intensity variation along range and visually enhances oceanic features. This file is calculated as the ratio between the backscatter

intensity and a simulated backscatter intensity image generated using an ocean surface wind model, like CMOD_IRF2 (Yves et al. 1998) for VV polarization or CMOD_IRF2K (Vachon and Dobson 2000) for HH polarization, and the SAR local incidence angle and the look direction information.

The following figures show Sentinel-1 EW ORB products:

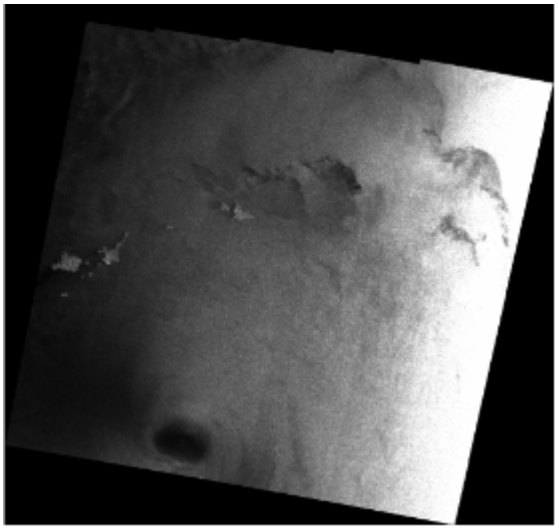


Figure 5: ORB intensity (Sigma-Nought); Processing: G. Hajduch (CLS)

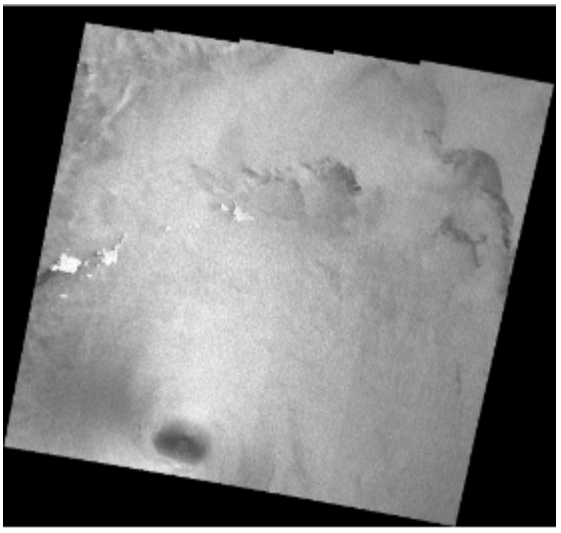


Figure 6: Intensity compensated with the “Mean Wind-Normalised Backscatter Measurement” (i.e., not Sigma-Nought) and geocoded; Processing: G. Hajduch (CLS)

Document History

Editorial changes are not listed.

Version 1.1.0-draft (unreleased draft)

This version has not been released yet.

Editors: Matthias Mohr

Building Block	Change	Level
Document	• The Combined SAR PFS has been split into separate PFS per product type • Restructured the document; various minor editorial changes; removed empty, irrelevant, or unused parts - many of the changes resulted from the split • Numerical identifiers were rotated and are deprecated; new textual identifiers have been added • Moved the Background paragraph about the commonalities and differences in the SAR PFSes to the Introduction • Requirement “Document identifier”: Removed the trailing “for Synthetic Aperture Radar” • Requirement category “CEOS-ARD Product Data Attributes” renamed to “Product Metadata”; Requirement “Source Data Attributes” renamed to “Source Metadata”. Adapted descriptions accordingly. • Requirement category “Source Data Attributes”: Moved the information about sequential acquisition identifiers to a new threshold requirement “Acquisition ID”. Adapted category description accordingly. • The subcategories for Source and Product metadata have been flattened into top-level categories • Annex has been reformatted and updated as required by the split • Document history has been reset. Check the previous versions for details **Note:** This document is the successor of the former CEOS-ARD for SAR PFS v1.3.1 for product type **Ocean Radar Backscatter (ORB)**.	minor

The history of the versions before 1.1.0 is available in the older versions of this document, see the CEOS-ARD website or the GitHub releases.

1. The look direction angle represents the planar angle between north and each range direction. It is not constant in range, especially close to the poles.↩